



12-11-03

B#

PART B - FEE(S) TRANSMITTAL

and send this form, together with applicable fee(s), to: Mail

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7590

10/10/2003

Fernandez & Associates, LLP
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MARY CHOW (Depositor's name)
Mary Chow (Signature)
12/9/03 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/039,526	11/07/2001	Yu-Hwa Lo	NOVA-P022	8842

TITLE OF INVENTION: BROADLY TUNABLE DISTRIBUTED BRAGG REFLECTOR STRUCTURE PROCESSING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$0	\$665	01/12/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
SIMKOVIC, VIKTOR	2812	438-036000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Fernandez & Associates LLP
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Nova Crystals, Inc.

San Jose, CA 95126, USA

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☐ Publication Fee
☐ Advance Order - # of Copies

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[Signature]

(Date)

12/9/03

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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12/12/2003 ZJUWAR2 00000016 10039526

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Name: Mary Chow
12/9/03 Signature Date [Signature] Signature

Docket No. : **NOVA-P022**

ISSUE FEE TRANSMITTAL LETTER

Assistant Commissioner of Patents
United States Patent and Trademark Office
Washington, D.C. 20231
ATTN: BOX ISSUE FEE

Sir:

Transmitted herewith is the issue fee for patent application **10/039,526**, filed **11/7/2001** of:

Inventor(s): **Lo, et al.**

Entitled: **Broadly Tunable Distributed Bragg Reflector Structure Processing**

Also enclosed are:

- ☒ Issue Fee Transmittal
- ☒ "Fee Address" Indication Form (37 CFR 1.363)
- ☒ Return Postcard
- ☒ Check # 0982 in the amount of \$665.00 for Small Entity Issue Fee. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

Please direct all correspondence concerning the above-identified application to the following address:

CUSTOMER NO: 22877

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PATENT ATTORNEYS
PO BOX D
MENLO PARK, CA 94026-6204

(650) 325-4999
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Respectfully submitted,

[Signature]
DENNIS S. FERNANDEZ, ESQ.
Reg. No. 34,160

12/9/03
Date